

INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (PTO-1449)			ATTY. DOCKET NO. 47271-072	SERIAL NO. 09/827,333			
APPLICANT Syed K. QURAISHI, et al.							
			FILING DATE April 06, 2001	GROUP 2163			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS SUBCLASS			
<i>DmH</i>	5,101,353	3/31/92	Lupien et al.	— —			
<i>DmH</i>	5,819,238	10/6/98	Fernholz	— —			
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>DmH</i>	V. Stamas, "Brokers may face mandatory job skills training", Public Finance Watch ,20 September 1993, Vol. 7, No. 35, page 9						
	J. Michaels, "Buy side champions alternative trading systems", Wall Street & Technology, June 1993, Vol. 10, No. 11, pages 38-48						
EXAMINER <i>DmH</i>	DATE CONSIDERED <i>8/15/05</i>						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.